Aseismic slip and seismogenic coupling in the Marmara Sea: What can we learn from onland Geodesy?

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Abstract

Ever since the $M_w$7.4 Izmit earthquake in 1999, evaluation of seismic hazard associated with the last unbroken segments of the North Anatolian fault is capital. A strong controversy remains over whether Marmara fault segments are locked or are releasing strain aseismically. Using a Bayesian approach, we propose a preliminary probabilistic inter-seismic model constrained by published GPS data sets. The posterior mean model show that Ganos and Cinarcik segments are locked while creep is detected in the central portion of Marmara fault. Our analysis, however, reveal that creeping segments are associated with large model uncertainties, which mainly results from the sparsity of current geodetic observations. We then discuss how the GPS network can be improved to attain more reliable assessment of inter-seismic slip rates. With this purpose, we implement a network optimization procedure to identify the most favorable distribution of stations measuring strain accumulation in the Marmara Sea.
Key points

1. Introduction

Extending over more than 1500 km, the North Anatolian Fault (NAF) is a major continental strike-slip fault running from eastern Turkey up to the Aegean Sea. With a right-lateral geodetic slip rate of about 25 mm/yr, it accommodates the relative motion between the Eurasian and Anatolian plates. In 1999, the $M_w = 7.4$ Izmit and $M_w = 7.1$ Düzce earthquakes ended a westward migrating seismic sequence of eight $M_w > 7$ events that started in 1939 with the $M_w = 7.9$ Erzincan earthquake in Eastern Turkey (Fig. 1). This sequence is commonly explained by stress transfer triggering [Stein et al., 1997]. Consequently, the segments located in the Marmara sea, shaping a 70 km to 150 km gap unbroken since 1766 [Ambraseys, 2002; Armijo et al., 2005; Meghraoui et al., 2012], have now great odds of being the scene of the next great earthquake. Located offshore Istanbul, a proper estimation of strain accumulation is crucial to evaluate seismic hazard and seismic risk in the Marmara region.

Because they allow precise quantification of surface deformation, geodetic observations such as GPS and InSAR are commonly used to study the behavior of faults during the inter-seismic period, highlighting two different regimes: locked (fully or partially), therefore accumulating strain likely to be released during large earthquakes, or slipping aseismically. The Marmara fault presents the major difficulty of being undersea, preventing any standard geodetic measurements within 10 to 20 km of the fault. This fundamental limitation combined with the poor data coverage in the western Istanbul region clearly complicates the characterization of the Marmara fault behavior. The bathymetry of the
Marmara Sea is marked by four basins from which we define four eponymous segments represented on Fig. 1-b, hereafter TS for Tekirdag, CeS for Central, KS for Kumburgaz and CiS Cinarcik. Preliminary studies using land-based geodetic measurements suggest a creeping segment encompassing the CeS and KS [Ergintav et al., 2014] in agreement with seismic repeaters identified in the Central Basin by Schmittbuhl et al. [2016], Fig. 1. The integration of seafloor geodetic data reveals a locally locked zone in the KS [Sakic et al., 2016]. Seismological studies also conclude that this segment is accumulating stress and is mature enough to experience an earthquake of magnitude $M_w \sim 7$ [Schmittbuhl et al., 2015].

In this study, we show that the difficulty of assessing strain accumulation in the Marmara fault results both from the simplicity of current geodetic models and from the scarcity of available geodetic data. We highlight that simple infinite fault model classically used to investigate interseismic deformation cannot be employed in the Marmara sea. Going forward, we propose a probabilistic inter-seismic model assuming a more realistic 3D geometry of the fault. Although our results are consistent with previous studies, slip-rate in the central Marmara fault segments remain uncertain due to sparse GPS measurements in this region. We discuss how the existing observational capability could be improved by designing an optimum GPS network.
2. Strain accumulation modeling

2.1. Effect of the 3D fault geometry

For a linear infinite strike-slip fault, surface velocities \( v \) measured interseismically as a function of distance from the fault \( x \) are given by

\[
v(x) = \frac{V}{\pi} \cdot \arctan\left(\frac{x}{D}\right)
\]

where \( V \) is the fault slip rate below the locking depth \( D \) [Savage and Burford, 1973]. This model is convenient when dealing with simple linear strike-slip faults since it allows the estimation of locking depth and far field plate velocity from displacement profiles. Such 2D representation of inter-seismic deformation was used by most previous studies investigating strain accumulation along the Marmara fault [Ergintav et al., 2014; Diao et al., 2016a]. However in this region, the NAF is non-planar and features a double fault bend close to Istanbul [cf., Fig. 1; Le Pichon et al., 2001, 2003; Armijo et al., 2005].

To evaluate the impact of this geometry on deformation, we compare the response of a linear infinite strike-slip fault to the one of a more realistic 3D fault. To design this realistic fault, we use a simplified geometry designed from seismicity, geological and bathymetry data [Le Pichon et al., 2001; Armijo et al., 2005; Schmittbuhl et al., 2015]. We test two simple cases: (1) the faults are freely creeping at a constant rate (Fig. S1a-b of the supporting information). (2) the faults are locked down to 15 km depth, corresponding to the average depth of the seismogenic zone estimated from seismicity [Schmittbuhl et al., 2015], below which the fault is slipping at plate rate (Fig. S1c). For the infinite strike-slip fault, surface displacements are parallel and symmetrical with respect to the fault trace (as expected). On the contrary, the 3D fault geometry is associated with a clockwise rotation,
visible more than 25 km west of the fault kink in the KS. For both fully creeping and
locked faults, this results in asymmetrical velocity profiles that differs from the response
of an infinite strike-slip fault.

Beyond geometrical complexities, the fault can also present important along strike cou-
pling variations. Coupling (or locking) is here defined as the amount of plate rate not
released as inter-seismic creep. Strain modeling along the Eastern part of the NAF in-
dicates non negligible variations of locking depth and slip rate [e.g., Tatar et al., 2012;
Aktug et al., 2015; Hussain et al., 2016]. Such spatial variability of inter-seismic coupling
is visible on other major continental strike-slip faults [e.g., the San Andreas fault; Jo-
livet et al., 2015] and locking is often correlated with the location of earthquake ruptures
[Maurer and Johnson, 2014; Barbot et al., 2013]. In the Marmara Sea, the first months of
seafloor acoustic measurements suggest that the KS is coupled and accumulating stress
[Sakic et al., 2016]. However, these measurements, made in a very localized area, may not
be representative of the entire segment behavior. Further West, [Schmittbuhl et al., 2016]
identified the occurrence of seismic repeaters, which are possible markers of aseismic creep
in the CeS.

In the following, we will use a 3D fault to account for the overall geometry of the Mar-
mara fault and to restore possible spatial variations of inter-seismic slip-rate. Note that,
since GPS data is not available within 10 to 20 km of the fault, a highly precise fault
deeply is not necessary. According to our tests, a latitudinal shift of the fault loca-
tion by one or two kilometers will not affect coupling estimates given GPS measurement
uncertainties.
2.2. GPS data in the Western Istanbul Region

The Eastern region of Istanbul is one of the first regions where GPS measurements have been performed in the early 90’s [Straub et al., 1997]. The first stage of the MAGNET (Marmara GPS network) was installed by the TUBITAK Marmara Research Center just before the 1999 Izmit earthquake. Ever since, GPS surveys were intensified along the continental part of the fault and, supported by the increasing quantity of data, numerous studies focused on the quantification of postseismic deformations following the Izmit and Düzce earthquakes [Ergintav et al., 2002; Bürgmann et al., 2002; Hearn et al., 2009]. Even if numerous studies have investigated strain accumulation in the Marmara sea [McClusky et al., 2000; Ergintav et al., 2014; Diao et al., 2016b], geodetic measurements in the Western region of Istanbul remain sparse. Because of difficult field conditions, no systematic coverage have yet been performed along the shoreline of the TS and CeS, and inland, between Marmara and Black seas shorelines (Fig. 1-b).

In the following section, we use the velocity table published by [Ergintav et al., 2014], having removed about ten sites that are perturbed by nearby landslides (precise list of stations provided on the supporting information, Table S1).

2.3. Bayesian modeling of strain accumulation

We model the GPS velocity field assuming a simplified 3D fault geometry. The seismogenic zone is discretized using two rows of subfaults ranging from the free surface down to 15 km depth. Interseismic loading (i.e., far field displacements) is modeled by slip on patches deeper than 15 km extending to infinite depth (effectively 5000 km). Laterally, the fault extends to about 1000 km away from the studied area, following the NAF trace.
In addition to slip in each subfault, we also estimate translation, rotation and scaling parameters to deal with GPS reference frame issues. The forward problem is defined as

\[ \mathbf{d} = \mathbf{Gm} \]

where \( \mathbf{d} \) is a vector containing the horizontal component of the GPS velocity field, \( \mathbf{m} \) a vector containing the model parameters described above and \( \mathbf{G} \) is the Green’s function matrix. For simplicity, we assume pure strike-slip faulting and an homogeneous semi-infinite elastic medium [Okada, 1985]. We explore the space of possible solutions using a Bayesian approach to derive the posterior Probability Density Function (PDF) of the model \( \mathbf{m} \) given available observations \( \mathbf{d}_{\text{obs}} \):

\[
p(\mathbf{m}|\mathbf{d}_{\text{obs}}) \propto p(\mathbf{m}) \exp \left( -\frac{1}{2} (\mathbf{d}_{\text{obs}} - \mathbf{Gm})^T \mathbf{C}_\chi^{-1} (\mathbf{d}_{\text{obs}} - \mathbf{Gm}) \right) \tag{2}
\]

where \( \mathbf{C}_\chi \) is the covariance matrix describing observational and prediction uncertainties [Duputel et al., 2014] and \( p(\mathbf{m}) \) is the prior PDF of the model. To cope with the lack of realistic uncertainty estimates, we use a diagonal \( \mathbf{C}_\chi \) whose elements correspond to residuals obtained after a first inversion (cf., supporting information, Table S1). The prior, \( p(\mathbf{m}) \), describes the information we have on model parameters before considering the data. We use simple uniform PDFs to ensure slip-rate positivity in the right lateral direction and uninformative broad uniform priors for geometrical transformation parameters. The prior for deep patches is defined as a narrow uniform PDF centered on 25 mm/yr [McClusky et al., 2000; Reilinger et al., 2006](Fig. S2)

Using such non-gaussian definition of \( p(\mathbf{m}) \), there is no simple analytical form of \( p(\mathbf{m}|\mathbf{d}_{\text{obs}}) \). To evaluate the posterior PDF, we thus draw a large number of samples (i.e., ~300,000 models) from \( p(\mathbf{m}|\mathbf{d}_{\text{obs}}) \) using the parallel Monte Carlo approach described by
[Minson et al., 2013]. The final outcome is a posterior ensemble of plausible inter-seismic models that are consistent with both available GPS observations within errors and the prior assumptions described above. The posterior mean slip rate distribution is presented in Fig. 2 with the associated 2-σ uncertainties. Although this model cannot completely reproduce the variability of GPS velocity vectors North of the fault, the overall fit to the data is quite good (cf., Fig. S3).

Our results indicate that the CiS and Ganos fault are locked, which is consistent with previous studies [Diao et al., 2016a; Ergintav et al., 2014]. According to posterior uncertainty estimates (smaller than 5 mm/yr), this part of the model is well constrained. On the other hand, results suggest relatively large inter-seismic slip rate in the central segments (larger than 15 mm/yr in the TS and CeS). This is in agreement with the small slip deficit suggested by [Ergintav et al., 2014] and the occurrence of strike-slip repeaters identified by [Schmittbuhl et al., 2016], that may be associated with creep below 6 km. However, these portions of the model are associated with large uncertainties of the order of 10 mm/yr or larger. We also notice correlation of slip between neighbor patches in the CeS (Fig. S4). This is probably due to the lack of GPS observations around the TS and CeS. To quantify our ability to detect slip in the seismogenic zone of the Marmara fault, we define the sensitivity of the GPS network as:

\[ S = \text{diag}(G^T \cdot C^{-1} \cdot G) \] (3)

The results presented in the top left inset of Fig. 2 clearly show that the current network is poorly sensitive to slip-rate in the central part of the fault where our Bayesian analysis
reveal large posterior uncertainties. On the contrary, because of multiple GPS sites located
on nearby islands, the sensitivity to slip in shallow portions of the CiS is larger. The Ganos
fault is monitored only with a few sites, implying a small sensitivity to shallow slip for
the entire geodetic network. However, these GPS stations are located close to the fault
enabling good model resolution and small posterior uncertainties at shallow depth.

To improve our models, we need to upgrade the existing observational capability by
designing a pertinent network enabling reliable measurements of strain accumulation in
the Marmara region.

3. GPS network Optimization

3.1. Network optimization procedure

Our aim is to evaluate where new GPS stations should be installed to optimize the
resolution of shallow slip rate in the central part of the Marmara fault. The resolution on
the fault is defined as [Tarantola, 2005]:

$$R = \text{diag}(C_m \cdot G^t \cdot (G \cdot C_m \cdot G^t + C_\chi)^{-1} \cdot G)$$

(4)

where $C_m$ is the covariance matrix representing our prior information on the model while
$G$ and $C_\chi$ are the Green’s functions and misfit co-variance defined in eq. (2). In this
definition, the prior PDF on fault slip is a zero centered Gaussian of covariance $C_m$. We
use a diagonal prior covariance with a standard deviation of 8.6 mm/yr, corresponding to
the standard deviation of the uniform prior used in section 2.3 for the shallow patches.

To determine the optimum location of new stations, we use a global optimization pro-
cedure combining two different algorithms to maximize the minimum of resolution among
a given set of subfaults [Agram, 2013]. First, we select stations improving the minimum resolution using a sequential algorithmic approach inspired from the subset selection algorithm of [Reeves and Zhe, 1999]. In a second step, site locations are optimized using the hybrid improvement algorithm [Broughton et al., 2010], which enables the substitution of a previously selected station by a better candidate.

We design optimum GPS arrays using two different 3D fault parameterizations of the seismogenic zone: (1) the simple 2-row geometry previously used; (2) a more detailed geometry including 3 rows of subfaults. To ensure maximization of resolution at different depths in the seismogenic zone, we optimize the network independently for each row of subfaults. This optimization procedure is conducted only for the portion of the fault designated with a blue dashed line in Fig. 3 and Fig. S5.

3.2. Terrestrial network optimization

We define a reference GPS network corresponding to existent sites (the same sites used for the Bayesian modeling), complemented by 15 sites that we installed in 2015. The set of additional candidate stations are distributed on a regular grid with 2 km spacing within 20 km of the coastline, and 5 km spacing at larger distances. To avoid superposition of stations in the optimization procedure, we impose a minimum inter-station-distance of 5 km. Given the number of possible candidate GPS sites, our optimization algorithm evaluate the resolution matrix about half a million times. Resolution achieved for both tested geometries are presented in Fig. 3 and Fig. S5, compared to the resolution estimated on the initial network. For the initial network (Fig. 3a and Fig. S5a), the resolution of fault slip (R) in the CiS is already quite good. However, it decreases drastically at depth, and
shows strong along strike variations in the KS, CeS and TS, even more visible using the more detailed geometry (Fig. S5).

We first assume uniform uncertainties of 0.6 mm/yr for each new site corresponding to the observational error expected for survey sites measured regularly for at least 4 years. Graphs on Fig. 3b and Fig. S5-b show the evolution of minimum resolution achieved for each row of subfaults as more and more stations are added to the reference network. Note that the 15 survey sites installed in 2015 already enable some improvements of resolution.

For both tested geometries, the resolution gain is relatively moderate (Fig. 3a-b and Fig. S5a-b) requiring a limited number of stations. To assess the effect of continuous stations instead of campaign sites, we also evaluate the fault slip resolution assuming observational uncertainties of 0.2 mm/yr for the previously optimized network. In this case, the resolution gain is significant, reaching 70% in the CeS, assuming the two-row geometry. Improvements are also important using the three-row geometry (Fig. S5-c), where the resolution in the first 10 km below surface is significantly larger. We have also tested the design of an optimized network assuming observational errors of 0.2 mm/yr. The resulting optimum site locations are nearly identical to the network optimization assuming 0.6 mm/yr (cf., Fig. S6).

For all tested configurations, adding stations on the coast of the TS is needed to maximize resolution at different depths. Other sites are also selected on the coast of the CeS and KS, especially on the peninsulas, where measurements can be made relatively close to the fault. The optimized networks with both fault geometries are relatively consistent. All optimum new sites are selected North of the fault. Candidate locations on the south-
ern coast are too far away to bring additional information on the seismogenic zone. More
sites are needed in the case of the three-row geometry, including a station selected on the
Marmara Island, about 25 km South of the fault (cf., Fig. S7).

3.3. Seafloor network optimization

Seafloor measurements projects are now emerging to better capture inter-seismic strain
accumulation. However, such measurements are currently limited to a small area and do
not provide information about along strike coupling variations on the NAF. To further
improve the overall resolution of fault processes in the Marmara sea, we investigate the
possibility of adding seafloor measurement sites. In this case, the reference GPS network
corresponds to existent sites, complemented by the 15 stations installed in 2015 and the
optimum onland sites considering $\sigma = 0.2 \text{ mm/yr}$ (cf., section 3.2). Candidate seafloor
sites are distributed on a regular grid with 2.5 km spacing, and a minimum inter-station-
distance of 15 km is imposed. We assume uniform uncertainties of 5 mm/yr, according
to previous estimates [e.g., Yokota et al., 2016], albeit this value may be underestimated
for such measurements. Six sites are selected very close to the fault in the KS and TS
(Fig. S7), where the coast is the furthest from the fault (Fig. 4b). These sites are located
on both sides of the fault trace and allow improvements of resolution on the central
part of the Marmara fault. Resolution on deepest subfaults (between 7.5 km and 15 km
depth) remain relatively small compared to shallow subfaults. We have added additional
seafloor sites between the fault and the coast but these stations have a very small effect
on resolution due to their large observational errors. Nevertheless, our tests show that
reducing uncertainty of seafloor measurements can improve resolution at larger depths (up to $R \sim 1$ assuming 1 mm/yr errors).

4. Discussions and Conclusion

We showed that 2D fault modeling is not appropriate to evaluate strain accumulation on the Marmara fault. Indeed, simple tests assuming creeping or coupled segments result in deformation profiles that are quite different if we use an infinite strike-slip fault or a more realistic double-bend fault geometry. Beyond geometrical complexities, the Marmara fault can also present along strike locking variations that cannot be inferred assuming an infinite linear strike-slip fault. Using a Bayesian approach, we generated probabilistic models of inter-seismic slip-rate assuming a 3D fault geometry. The posterior mean model is globally consistent with previous studies with locked segments in the Ganos fault and CiS and aseismic creep on the central part of the Marmara fault [Ergintav et al., 2014; Diao et al., 2016a]. Going further, we have evaluated the probability distribution of moment deficit accumulated on the fault since previous large earthquakes (see supporting information). There is no significant slip deficit in the CeS (Fig. S8), in agreement with the location of repeaters found by Schmittbuhl et al. [2016]. On the other hand, the KS and CiS are associated with significant moment deficits that are respectively sufficient to generate earthquakes of magnitude $M_w=7.5 \pm 0.2$ and $M_w=7.1 \pm 0.1$, in agreement with Schmittbuhl et al. [2015] (more details are provided on the supporting information).

Our analysis reveals large uncertainties of interseismic slip-rate that complicate a more detailed interpretation of moment-rate deficit along the Marmara fault. Large posterior slip-rate uncertainties presented in Fig. 2 are mainly the result of poor data coverage.
in this region, which reduces the sensitivity of the GPS network to inter-seismic fault processes. These model uncertainties are also probably underestimated since we have neglected forward modeling errors assuming an homogeneous Earth model [Duputel et al., 2014]. Future modeling studies based on larger datasets will have to account for the presence of a sedimentary cover [Schmittbuhl et al., 2015] and possible deeper rigidity contrasts [Le Pichon et al., 2005].

To improve future slip deficit estimates, we then pinpointed necessary improvements of the GPS network using a global optimization procedure. Using the results of this network design analysis, additional GPS sites have been installed during the 2016 summer to fill the gaps remaining after the first densification of the network in 2015. In the particular configuration of the Marmara sea, where onland measurements are impossible within 20 km of the fault, our tests show that installing new survey sites will lead to relatively moderate improvements in the resolution of slip deficit (even if we add a large number of stations). In this context, the quality of measurements appears to be much more important than the quantity of measured sites. The installation of a limited number of permanent GPS stations can significantly and more rapidly help to assess fault coupling properties. These additional GPS stations must be installed as close as possible to the Marmara fault, on the coast of the TS, CeS and KS (see Fig. 3-c and Fig. S5-c). Even if adding a large number of observation points appears unnecessary, incorporating other datasets such as InSAR can be useful. Such addition observations bring redundant measurements over GPS data, thereby reducing observational uncertainties as $\sqrt{N_{\text{obs}}}$ (where $N_{\text{obs}}$ is number of measurements at a given location).
To quantify the reduction of model uncertainties using different geodetic networks, we compare posterior slip rate uncertainties using the current network (Fig. 2), the optimized terrestrial network and an optimum network with both onland and seafloor sites (cf. section 3, Fig. 4). Significant decreases of posterior uncertainties are already visible in the TS and KS segments considering the optimum terrestrial network only (cf., Fig. 2 and Fig. 4a). Largest uncertainties, of the order of 14 mm/yr in the CeS, can be reduced to about 10 mm/yr using seafloor measurements in the same region (Fig. 4b).

Although seafloor measurements are less efficient to improve the resolution at large depths (>7.5 km), such deployments are important to detect shallow creep (Fig. 4b). Of course, the actual information gain depends on observational uncertainties and thus on the used seafloor measurements technology [Sakic et al., 2016; Yokota et al., 2016]. To attain sufficient resolution over the whole seismogenic zone, it is thus crucial to reduce seafloor measurement uncertainties.

The modeling of inter-seismic slip-rate deficit depends on the tectonic setup and on the distribution of faults that are susceptible to break. Although we used a more accurate geometry than what was employed before, we do not take into account the spindle-shaped structure of the fault between the TS and CeS, nor the South Marmara branch of the fault, less well defined in the bathymetry ([Le Pichon et al., 2001]). Nevertheless, [Schmittbuhl et al., 2015] identified seismicity South of the CiS, which might indicate that the South Marmara fault is still active and potentially accumulating strain. Another issue is the possible occurrence of transient creep episodes separated by locking periods. Such behavior was already observed East of the Izmit and Düzce rupture zones where the shallow part...
of the NAF experienced a 1 month aseismic slip event in 2013 [Rousset et al., 2016]. In
the context of the Marmara Sea, such localized transient will be difficult to identify using
only land-based geodetic measurements. Yet, such aseismic events can play an important
role in the overall strain accumulation budget of the fault.

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Figure 1. (a) Main ruptures along the NAF. (b) Seismicity between 2007 and 2011 [Schmitbuhl et al., 2015], the red cross depicts the location of repeaters (CeB) [Schmitbuhl et al., 2016], and GPS velocity in the study area [Ergintav et al., 2014]. TS refers to Tekirdag, CeS to Central, KS to Kumburgaz and CiS to Cinarcik Segments. The eponymous basins are also indicated [Le Pichon et al., 2003]. Green stars depict the locations of major historical earthquakes [Ambraseys, 2002; Bohnhoff et al., 2016].
Figure 2. Posterior mean slip rate model (mm/yr). The top-left inset shows the slip sensitivity of the GPS network and the corresponding 2-σ posterior uncertainties. The top-right inset shows the marginal PDF of inter-seismic slip rate in the KS (patch highlighted by thicker contour).
Figure 3. Model resolution of (a) the initial network, (b) the optimum network considering $\sigma_d = 0.6\, \text{mm/yr}$; (c) the optimum network assuming $\sigma_d = 0.2\, \text{mm/yr}$. The bottom right inset (b) shows the minimum of resolution for each optimized row (area of maximization delimited by the dashed blue line) as function of the number of added stations.
Figure 4. Model resolution compared to posterior 2-σ uncertainties (bottom) on slip rate for (a) the terrestrial network ($\sigma_d = 0.2$ mm/yr). (b) the network combining onland ($\sigma_d = 0.2$ mm/yr) and seafloor sites ($\sigma_d = 5$ mm/yr). The bottom inset shows slip rate marginal PDFs for the current network (red, Fig. 2), the optimum terrestrial network (orange) and the optimum network including onland and seafloor sites (yellow).